# **Mathematical Model of Computer Viruses**

Ferenc Leitold Veszprém University, Hungary

## About the Author

Ferenc Leitold has graduated at Technical University of Budapest in 1991. He received his Phd. at Technical University of Budapest too, in 1997 in the theme of computer viruses. Currently he teaches in the Department of Information Systems at Veszprem University. He teaches computer programming, computer security and computer networks. His research interest is based on computer viruses: mathematical model of computer viruses, automatic methods for analyzing computer viruses. Mailing Address: Phd. Ferenc Leitold, Kupa str. 14. H-8200 Veszprem, HUNGARY Phone: +36 30 9599-486 Fax: +36 88 407-285

E-mail: fleitold@veszprog.hu

## Descriptors

computation model, Turing-machine, Random Access Machine, Random Access Stored Program Machine, RASPM with ABS, operating system, computer virus, spreading mode, polymorphic virus, virus detection problem

**Reference** to this paper should be made as follows: Leitold, F. (2000) 'Mathematical Model of Computer Viruses', EICAR 2000 Best Paper Proceedings, pp.194-217.

# **Mathematical Model of Computer Viruses**

## Abstract

In real computers the operating system organizes the connection between the unique programs. In most operating systems a program can modify other program and/or data files. There are some special programs which utilize this facility of the operating system, for example the computer viruses. For the analysis of programs which modify other programs it is necessary to define a new computation model. The new mathematical model - called Random Access Stored Program Machine with Attached Background Storage (RASPM with ABS) - is introduced in this paper. The other required tool is a suitable model for the operating system. This new model is also introduced using mathematical methods.

# Introduction

There are some well-known computation model for the analysis of single algorithms, that are Random Access Machine, Random Access Stored Program Machine, the Turingmachine, etc. (Aho, Hopcroft, Ullman, 1975; Davis, 1958; Lewis, Papadimitriou, 1981; Salomaa, 1985; Hopcroft, Ullman, 1979; Regan, 1993; Bshouty, 1993). In the first part of this paper these models, their connections and features are discussed. There are very useful definitions for cost criterion on these models, but they cannot be used for the analysis of program codes interacting with other programs, for example, of the computer viruses (Leitold, Csótai, 1992; Virus Bulletin, 1993). Keeping the cost criterion, a new model has been developed which is based on the well-known Random Access Stored Program Machine. After the definition of the new model, the equivalence between the new machine and the Turing-machine will be proved. In the last part of this paper the 'operating' system' will be defined mathematically and the main types of operating systems will be described. The new model with the defined operating system is able to describe the existing computer systems more closely to the reality. These computer models are very useful to examine the working mechanism of viruses and virus types. Now the research work deals with the following problems:

- What are the conditions which are required for multiplatform viruses to spread to an other machine/platform ? What are the conditions which are need for a machine/platform (e.g. a new computer) that can not be infected by a virus originally spread on other types of machines/platforms ?
- How can spread polymorphic viruses ? Is there any polymorphic virus without decoding routine in it ?
- How can multipart viruses work ? How can search for them ?
- Is there any algorithm for the general virus detection problem if there is any limitation such as for storage and/or time ?

# Models of Computation

This chapter summarizes the most important features of the Random Access Machine, the Random Access Stored Program model and the Turing Machine which will be used in the new model. The summary also proves that these models are simple enough to produce analytical results and accurately reflect the salient features of real machines. However, it must be emphasized that these models deal only with an unique algorithm in the same time.

## Random Access Machine

The Random Access Machine - RAM (Aho, Hopcroft, Ullman, 1975; Davis, 1958; Lewis, Papadimitriou, 1981; Salomaa, 1985; Hopcroft, Ullman, 1979; Bshouty, 1993) model is a

one-accumulator computer where the instructions are not permitted to modify themselves. The RAM consists of a read-only input tape, a write-only output tape, a program and a memory (Figure 1).



Figure 1: Random Access Machine

The program may read from the input tape, write to the output tape and read or modify the content of memory cells. The input tape is a sequence of boxes, each of them holds an integer. Whenever a symbol is read from the input tape, the tape head moves one box to the right. The output is a write only tape that consists of also boxes being initially all blank. When a write instruction is executed, an integer is put into the box of the output tape that is under the output tape head currently, and the tape head is moved one position to the right. These mean that an input symbol can be read only once and when an output symbol has been written, it cannot be changed.

The program for a RAM is not stored in the memory. Consequently we should assume that the program does not modify itself. The program is merely a sequence of (optionally labeled) instructions. Each instruction consists of two parts: an operation code and an address. We assume that the operation code identifies an arithmetic instruction, a branch instruction or an instruction to handle input or output tapes. The address of an instruction can be a label which identifies the place of an other instruction in the program. The labels are usually used in branch instructions. The address of other instruction can be an operand or can be omitted. A possible instruction set of a RAM is shown in Figure 2. In principle, we could augment our set with any other instructions existing in real computers, such as logical or character operations, without altering the order of magnitude of the complexity of problems. So the exact nature of the instructions used in the program is not too important.

Operation	Parameter	Meaning		
LOAD	operand	It loads the value specified by the operand to the accumulator.		
STORE	operand	It copies the value stored in the accumulator to the cell specified by the operand.		
ADD	operand	It adds the value specified by the operand to the accumulator.		
SUB	operand	It subtracts the value specified by the operand from the accumulator.		
MULT	operand	It multiplies the accumulator by the value specified by the operand.		
DIV	operand	It divides the accumulator by the value specified by the operand.		
READ	operand	It loads a value from the input tape to the cell specified by the operand.		
WRITE	operand	It writes the value specified by the operand to the output tape.		
JUMP	label	It modifies the instruction pointer to the value specified by the label.		
JGTZ	label	It modifies the instruction pointer to the value specified by the label, if the accumulator is positive.		
JZERO	label	It modifies the instruction pointer to the value specified by the label, if the accumulator is zero.		
HALT		It Halts the machine.		

Figure 2: The instruction set of the RAM

The operand of an instruction can be one of the following:

i indicates the integer i itself,

[i] for nonnegative integer i, indicates the contents of register i,

[[i]] indicates indirect addressing. That is, the operand is the contents of register j, where j is the integer found in register i. If j<0, then the machine halts.

Initially each register is set to zero, the instruction pointer is set to the first instruction in P, and the output tape is blank. After execution of the kth instruction in P, the instruction pointer is automatically set to the next instruction (k+1), unless the kth instruction is JUMP, HALT, JGTZ or JZERO. In the case of HALT the machine will stop. In the case of JUMP or

if the condition of JGTZ or JZERO has come true the instruction pointer is set to the value specified by the label of the branch instruction.

## **Random Access Stored Program Machine**

Since the program is not stored in the memory of RAM, the program cannot modify itself. Let us consider another model of computers called Random Access Stored Program Machine – RASPM (Aho, Hopcroft, Ullman, 1975; Lewis, Papadimitriou, 1981). This model is similar to RAM with the exception that the program is stored in memory and so it can modify itself (see Figure 3). The instruction set for the RASPM is identical to the set for the RAM, except that indirect addressing is not needed because the program can modify itself, thus indirect addressing can be emulated.



Figure 3: Random Access Stored Program Machine

It is not surprising that the difference in complexity between a RAM program and the corresponding RASPM program appears only as a constant factor. So, if a problem execution can be performed by the RAM in time T(n) than it can be performed by the RASPM in time kT(n), where *k* is an appropriate constant (Aho, Hopcroft, Ullman, 1975; Lewis, Papadimitriou, 1981):

Theorem 1.1.: If costs of instructions are either uniform or logarithmic, than for every RAM program with time complexity T(n) there is a constant k and there is an equivalent RASPM program with time complexity of kT(n).

Theorem 1.2.: If costs of instructions are either uniform or logarithmic, than for every RASPM program with time complexity of T(n) there is a constant k such that there is an equivalent RAM program with time complexity of kT(n).

# **Turing Machine**

The *Turing Machine* – TM (Aho, Hopcroft, Ullman, 1975; Lewis, Papadimitriou, 1981; Salomaa, 1985; Hopcroft, Ullman, 1979) is based on a finite automata. It means that the machine modifies its actual state while it reads from and writes to the attached tape. The machine accept the input string if and only if all of input symbols have been read and the machine has entered the accepting state. There are different formal definitions of the Turing-machine in the literature. In this work the following definition have been used (Aho, Hopcroft, Ullman, 1975):

Definition 1.2.: Formally the T single-tape TM can be defined by the seven-tuple:  $T = \langle Q, S, I, d, b, q_0, q_f \rangle$ 

where

- Q is the set of states.
- S is the set of tape symbols.
- I is the set of input symbols; I <sup>1</sup> S.
- $b \in S \setminus I$ , is the blank.
- $\cdot$   $q_0$  is the initial state.
- $\cdot$   $q_f$  is the final (accepting) state.
- d is the set of move functions, maps a subset of Q x S to Q x (S x {I,r,s}).

Initially the actual state of the TM is  $q_0$ . The actual state can be modified by the move functions depending on the previous state and the contents of the tape. The head of the tape can move according to the move function. It can move one cell to left or to right, or it can stay as well.

The TM can consist of more tapes, but the computing capacity is polynomially related to the original single-tape TM. It is possible to emulate a multitape TM using a single-tape TM (Aho, Hopcroft, Ullman, 1975; Lewis, Papadimitriou, 1981, Regan, 1993).

Theorem 1.3.: Computing capacity of a Turing machine and a RASPM are equal, and their expenses are comparable at polynomial level, if costs of instructions are either uniform or logarithmic.

Although the TM is an universal tool in computing science, but it cannot do everything. There are a lot of problem where the TM cannot be used to get the solution. Let us consider the Church-theorem, if an algorithm exists for the solution of a problem, then this problem can be solved by the TM as well. One of the well-known unsolvable problems is the stopping problem of TM (Aho, Hopcroft, Ullman, 1975; Lewis, Papadimitriou, 1981):

Theorem 1.4.: It is impossible to create a TM to determine if a given TM will stop or not using a specific input.

# **RASPM** with Attached Background Storage

The well-known models described above are limited to analyse only a single algorithm or program. However, the connection between two or more algorithms or programs cannot be examined only with much effort. In order to create connections between programs a specific area or tape is required in which programs or program data can be stored. Let us call that as *background storage tape*. Furthermore, let us suppose that all running programs can access, read or modify this tape.

Definition 2.1.: A *G* Random Access, Stored Program Machine with Attached Background Storage (called RASPM with ABS) is defined by the six-tuple:

$$G = \langle V, U, T, f, q, M \rangle$$

where

- *V* is a non-empty set of input symbols, output symbols and symbols stored on the background storage tape, furthermore, a set of the symbols stored in the memory cells (all together the *tape alphabet*);
- *U* is a non-empty subset of the operation codes,  $U \subseteq V$ ;
- *T* is a non-empty set of the possible activities of the processor;
- *f* is an unique function for which *f*: *U* ® *T* is true;
- *q* is the initial value of instruction pointer;
- *M* is the initial content of the memory.

Let us assume that an unique, one-to-one mapping is available between the *V* tape alphabet and the set of integer numbers. (This way, one-to-one correspondence exists for the input and output tapes as well as the symbols contained in memories of RASPM with ABS or RAM).



Figure 4: Scheme of RASPM with ABS

Figure 4 shows that RASPM with ABS has an input tape, an output tape and a background storage tape, and all of them have infinite length. The input tape can be used only for read, the output tape only for write and the background storage tape for both operations. The tapes can be accessed by the reading and writing heads. When reading in or writing

out a symbol, the corresponding head moves one step to the right. In the case of background storage, direct move of the reading/writing head is also possible. This way, we can define the tape alphabet as identical set of integer numbers.

In addition, the machine contains a memory of infinite length, too. In contrast to the tapes, the memory can be addressed directly (i.e. can be read in or written out directly). The first cell of the memory has special feature, and it is called *accumulator*, similarly to RAM.

Operation	Parameter	Op.Code	Meaning	
LOAD	operand	10	It loads the value specified by the operand to the accumulator.	
STORE	operand	20	It copies the value stored in the accumulator to the cell specified by the operand.	
ADD	operand	30	It adds the value specified by the operand to the accumulator.	
SUB	operand	40	It subtracts the value specified by the operand from the accumulator.	
MULT	operand	50	It multiplies the accumulator by the value specified by the operand.	
DIV	operand	60	It divides the accumulator by the value specified by the operand.	
AND	operand	70	It performs a bit-by-bit AND operation on the accumulator and the value specified by the operand.	
OR	operand	80	It performs a bit-by-bit OR operation on the accumulator and the value specified by the operand.	
XOR	operand	90	It performs a bit-by-bit XOR operation on the accumulator and the value specified by the operand.	
READ	operand	A0	It loads a value from the input tape to the cell specified by the operand.	
WRITE	operand	B0	It writes the value of the cell specified by the operand to the output tape.	
GET	operand	C0	It loads a value from the background storage tape to the cell specified by the operand.	
PUT	operand	D0	It writes the value of the cell specified by the operand to the background storage tape.	
SEEK	operand	E0	It moves the writing/reading head of the background storage tape to the position specified by the operand.	
JUMP	label	FC	It sets the instruction pointer to the value specified by the label.	
JGTZ	label	FD	It sets the instruction pointer to the value specified by the label, if the accumulator contains positive number.	
JZERO	label	FE	It sets the instruction pointer to the value specified by the label, if the accumulator has been set to zero.	
Figure 5: The instruction set of the RASPM with ABS				

Within the RASPM with ABS, the tape and memory handling is carried out by the processor. Let us consider the *finite* set  $U \subseteq V$ . The function *f* maps one and only one activity from *T* to each element of *U*. The activity *f*(*x*) that belongs to the operation code  $x \in U$  is a *command*. In the RASPM with ABS, the operation code (or command) existing under the address determined by the instruction pointer is executed by the processor and then the new value of the instruction pointer is set. The operation code is in a single memory cell, and the parameter of this operation code is in the following cell. Accordingly, a command of RASPM with ABS is stored in two cells: the first cell contains the operation code and the second one contains the related parameter. The possible commands, thus, the T possible activities of the processor can be seen on the Figure 5.

Let us denote the content of the ith memory cell by c(i), where *i* is an integer number. The allowed operands can be seen on the Figure 6.

	Operand	Operand code	Meaning	
_	i	1	i	
	[i]	2	c(i)	
	[[i]]	3	c(c(i))	

Figure 6: Operand types

Since a program can modify itself in the case of the stored program machine (RASPM), the commands with [[i]] type operands can be substituted by the other commands, moreover, several operations can also be substituted by a series of other operations, too.

Of course, not all possible operands can be assigned to each operation. If the destination of an instruction has been specified by the operand then the allowed types of the operand are [i] and [[i]]. For example, the operation READ can have operands of type [i] or [[i]] only.

The instruction set of the RASPM with ABS and the code belonging to each operation are included in Figure 5, too. The hexadecimal code of operation is defined by two digits. The first digit refers to the operation, and the second digit refers to the type of operand. It means if the parameter of an instruction is an operand then the instruction code can be calculated by adding the operation code and the operand code.

When the instruction pointer addresses memory cell(s) where the content is an  $x \in V$  and  $x \notin U$ , (i.e. it is not an operation code, there is no command assigned to it) the machine stops.

When the machine is switched on, the instruction pointer takes the initial q value and the processor executes the command addressed by the q value immediately. The program and algorithm to be executed will be determined by commands existing in the memory,

therefore, it should be determined by the initial content of the memory (M). The machine stops in the following cases:

- when it is switched off,
- when it has got a value of the cell specified by the instruction pointer and this value is not an instruction code,
- when a division by zero should be executed.

In contrast to RAM, therefore, there is no command to stop the machine. (In addition to the division by zero, of course, it is possible to create an infinite loop when no operation is performed).

The content of memory is the initial value of *M* at every switching on and it is deleted at every switching off. On the contrary, the background storage keeps its content also at switching off. Eventually, it may happen that the background storage is removed from the machine and attached to another machine for further use. It is an other obvious advantage that the RASPM with ABS can be extended because it can be attached to more background storage tapes at the same time. The *Random Access Stored Program Machine with Several Attached Background Storage* can be defined on the base of original RASPM with ABS. Only a new command must be defined:

Definition 2.2.: The Random Access Stored Program Machine with Several Attached Background Storage (RASPM with SABS) is defined as a RASPM with ABS with the following extensions:

- A RASPM with SABS can be attached to more background storage tapes at the same time.
- All symbols of all background storage tapes are in V.
- The possible activities of the processor T is extended by one activity. The actual background storage tape can be selected by this new command (Figure 7).
- After the execution of this command, every operation referring to the background storage tape is performed on the actual background storage tape.
- If a command relating to a background storage tape is performed without giving a SETDRIVE command previously, then this command will use the first background storage tape.
- The machine stops when a RASPM with ABS stops, furthermore if a SETDRIVE command relating to an invalid background storage tape would be performed.

Operation	Parameter	Op.Code	Meaning
SETDRIVE	operand	F0	It sets the actual background storage tape to a new one specified by the operand.

Figure 7: New operation in RASPM with SABS

Theorem 2.1.: The RASPM with SABS is equivalent to RASPM with ABS, so one can be simulated by the other one.

Proof: It is enough to prove that a RASPM with SABS can be simulated by the RASPM with ABS, since the opposite case is trivial. Let us comb the N tapes of the original, simulated machine (RASPM with SABS) to the single tape of the simulating machine (RASPM with ABS) by the following way: The tapes of the simulated machine are numbered from 0 to N-1. Let the jth symbol of the ith tape be transferred to the Nj+i-th position on the new tape. Let us modify the memory building of the simulating machine as follows:

- the cell 0 is the accumulator,
- the cell 1 is kept for further purposes,
- the cell 2 contains the address of the cell (from 3 to N+2) that contains the location of the reading/writing head of the background storage tape,
- the cell i (3≤i≤N+2) contains the position of the reading/writing head of the i-3th virtual background storage tape,
- the cell i (N+2<i) contains the content of the cell i-(N+2) of the simulated machine, if that has not been modified otherwise it is shifted, see below.

The commands of the simulated machine has to be copied into the memory of the simulating machine, but the following modifications should be achieved:

• If the original program has to modify the actual background storage tape, the sequence number of the new tape gets into the cell 2. In this case, instead of the original command SETDRIVE a the following commands should be deposited:

STORE	[1]	; Save the accumulator
LOAD	a	; Load the operand
ADD	3	; calculate the real address
STORE	[2]	; save it as the new actual tape
LOAD	[1]	; Restore the accumulator

• If the original program reads or writes the actual background storage tape, the head of the single background storage tape moves to the actual position. Now the required operation can be performed and the position of the head of actual background storage tape is modified. The appropriate commands for a write operation (PUT a) are as follows:

STORE SEEK	[1] [[2]]	; Save the accumulator ; Move the head
PUT	a	; Write the operand to the tape
LOAD	[[2]]	; Load the position of the actual head
ADD	N	; modify the position
STORE	[[2]]	; Save new position
LOAD	[1]	; Restore the accumulator

• If the original program modifies the position of the reading/writing head of background storage tape (SEEK a), then the simulating program performs the change in the appropriate cell as follows:

STORE	[1]	;	Save the accumulator
LOAD	a	;	Load the operand
MULT	Ν	;	Calculate the operand
ADD	[2]	;	to the real
SUB	3	;	position on the tape
STORE	[[2]]	;	Save the new position
LOAD	[1]	;	Restore the accumulator

• In the course of copy of the original program the memory cell references should be also translated according to the shifts being in the program of the simulating machine.

In such way we could simulate a RASPM with SABS with the help of a RASPM with ABS by substituting several commands with a series of other commands. Not more than 7 other commands are necessary for the simulation of each command to be simulated. Therefore, on the basis of the uniform cost criterion, if the time complexity of the simulated program is T(n), then the time complexity of the simulating program is not more then 7T(n). This is valid independently on the input. If we consider a logarithmic cost criterion, the situation becomes much more complicated. In such case, the cost of STORE [1] and LOAD [1] commands is a function of the initial content of the accumulator. However, it is clear that the content of the accumulator has to be produced by the original program as well, and this production has also logarithmic cost with similar function of the size of the accumulator. It means that the commands STORE [1] and LOAD [1] can increase the logarithmic cost of the original program by a constant multiplication factor only, even in the worst case. The above program details for simulation of commands contain some such commands that perform operations with the operand of the original command or with its value multiplied by a constant factor. (Here it is supposed that the N number of tapes can be considered as a constant). Consequently, the logarithmic expense T(n) of the original program is increased to cT(n) only.

Therefore the computing capacity of the RASPM with ABS cannot be increased by using more background storage tapes. After understanding these facts it is not surprising that the computing capacity of RASPM with ABS is not greater than that of RASPM as follows:

Theorem 2.2.: Any RASPM with ABS can be simulated by a RASPM, and the cost functions of the simulating program agree with the cost function of the simulated program multiplied by an appropriate constant factor.

Proof: Similarly to the proof of Theorem 2.1., let us comb now, the content of the memory and the background storage into a new memory. Then a RASPM is obtained, i.e. a machine without background storage. The main difference in combing is that the original memory has to be shared into blocks, because the combing may not cut the cells belonging to one instruction. Of course, a new JUMP instruction has to be appended to the end of each block. In this way the original program code can be transferred into the new memory and the content of the background storage can be inserted between the program blocks. In the course of transfer of the original program, the memory cell references should be also translated according to the shifts being in the program of the simulating machine. The other difference in combing is that now, there is no need for cell to contain the sequence number of the actual background storage (because the RASPM with ABS contains only one), moreover, that only one cell is required to contain the position of the unique reading/writing head.

#### A conclusion of Theorem 2.2. is the following:

Theorem 2.3.: Computing capacity of a Turing Machine and a RASPM with ABS are equal, and their expenses are comparable at polynomial level.

Proof: Since any RASPM with ABS can be simulated by a RASPM (Theorem 2.2.) and vice versa (it is trivial), moreover, any RASPM can be simulated by a Turing Machine, and vice versa (Theorem 1.3.), therefore a RASPM with ABS can also be simulated by a Turing Machine and vice versa. The cost criterion follows from the statement in Theorems 1.3. and 2.2.

The background storage of RASPM with ABS can be regarded as an input and an output tape together, since it is assumed that there are already data for input on the background storage when the machine starts and that the storage can contain data after the switching off the machine as well. In the RASPM the role of background storage can be taken by the input tape over that the input tape contains the content of the background storage as well. It can be accomplished by assigning the cells with even sequence numbers of the tape to the cells of the original input tape, and the cells with odd sequence numbers to the cells of background storage. At switching on the machine, the RASPM copies the first amount of cells from the input tape into the free memory cells existing between the program blocks which memory sharing was introduced in the proof of Theorem 2.2. This copy can be executed so that the copying procedure deposits data only into the even cells between the program blocks. (The odd cells will be used as temporary output tape.) While the program is running and such input or background tape referred instruction should be performed that data has not been entered yet then the machine reads and stores automatically the suitable amount of following cells from the input tape till it reaches the required data. When the program tries to write into a cell of the virtual background storage then it writes to the appropriate position of the memory. Of course, if the referred cell has not been read

yet then it has to be read previously. When the program tries to write onto the output tape then it writes to the next free odd cell between the program blocks. Before the RASPM stops, it deposits the content of the background storage and the virtual output tape onto the real output tape. In this sense the RASPM can also be regarded as a machine equipped with a background storage.

# **Operating systems' model**

We should like to use the RASPM with ABS and the RASPM with SABS for the execution of programs. The V, U, T, and f components of  $G = \langle V,U,T,f,q,M \rangle$  have been defined previously after the definitions 2.1. and 2.2. Now, if we specify q and M, a program can be given which is specific for the operation of the machine. There are program and data files on the background storage(s). Via the input tape we should like to decide the running sequence of programs. A running program is allowed to read, write or modify also a background storage including the existing program and data files. Therefore, a frame program is required which is able to handle the program and data files and makes the specified program code run.

Definition 3.1.: The operating system is defined as a system of programs, which is able to handle separate program or data files and makes a specified program run.

Giving the definition of the operating system of the RASPM with ABS, the similarity between the RASPM with ABS and the real computer systems can be observed. In both of these systems there is a background storage where the user can store separate data and program files. Furthermore, there is an operating system which is able to handle these files.

The operating system can be included either in the initial value M of the memory or it can be located in the background storage. In the latter case, the M initial value of the memory contains a specific program started at the place specified by q which loads the operating system from the background storage and makes it run. In this case the loading program is not considered as part of the operating system.

Definition 3.2.: If the M initial value of the memory contains the operating system, then this operating system is called as machine specific operating system.

It means that by specifying the RASPM with ABS the operating system is also defined, because the M (with the code of the operating system) is specified by the RASPM with ABS.

Definition 3.3.: If the operating system is contained by the background storage tape, then this operating system is called as machine independent operating system.

In this case the operating system of a RASPM with ABS can be changed easily. The only thing to do is to change the actual background storage tape.

On the other approach, the machine can be operating system specific or operating system independent:

Definition 3.4.: If the M initial value of the memory in a RASPM with ABS contains the operating system, then this machine is called as operating system specific machine.

In this case the machine can use its own operating system only. (Of course, it is possible to create a program which can simulate another operating system.)

Definition 3.5.: If the operating system of a RASPM with ABS is contained by the background storage tape, then this RASPM with ABS is called as operating system independent machine.

Of course, it is possible to change the background storage tape and the operating system as well.

The following theorems are direct conclusions of the definitions 3.1-3.5.:

Theorem 3.1.: If O is the machine specific operating system of the G RASPM with ABS then G is operating system specific machine.

Proof: It is trivial, because if O is the machine specific operating system of the G machine then the memory of G contains the O operating system. It means that G is operating system specific machine.

Theorem 3.2.: If O is the machine independent operating system of the G RASPM with ABS then G is operating system independent machine.

Proof: It is also trivial, because if O is the machine independent operating system of the G machine then the memory of G does not contains the O operating system, but the background storage tape includes O. It means that G is operating system independent machine.

According to the general requirements summarized also by an ISO standard, the operating system is a program system which manages the execution of programs: schedules the execution, shares the resources and makes the connections between unique programs.

In practice, the operating system concept is based upon tradition. Main parts of operating systems (Deitel, Lorin, 1981; Herzog, Kollland, 1994; Dewan, Vasilik, 1990) have to be included in every multitasking operating system. The mechanism described under the 3rd point can be left out in the case of non-multitasking operating system.

According to the definition 3.1., the operating system of the RASPM with ABS can be defined for the purpose of examining the connection between unique program codes. Using the RASPM with ABS, the operating system complying with the requirements described above can be defined independently on being the RASPM with ABS operating

system specific or not. However, if we would like to examine program codes that modify the code of the operating system then operating system independent machine should be used. Otherwise, the operating system can not be really modified, because the restarting of the machine always clears the occasional modifications.

## Viruses in RASPM with ABS

The concept of operating system has been defined in 3.1 as a system of programs able to handle and make run program files. Therefore the definition of the viruses in RASPM with ABS can also be given:

Definition 4.1.: A computer virus is defined as a part of a program which is attached to a program area and is able to link itself to other program areas. The code of computer virus has to be executed when that program area is to be executed which the virus has been attached to.

Viruses have not to execute the original part of the program area, but the viruses often do it because they want to be unobserved. In this case the original part of the program area has to be repaired by the virus. In the opposite case the virus may overwrite the program area thus the virus destroys it.

## Spreading modes of viruses

As it is known in the practice, a virus can be attached to various program areas. The forms of attachment to different program areas are called as spreading modes. Viruses can have different spreading modes.

Definition 4.2.: A spreading mode of a virus is called machine-specific when some characteristic feature or service of the machine is used by the virus when it is spread by its given spreading mode. When the services of the machine are not used by the virus when it is spread then the spreading mode is called machine-independent.

A spreading mode of a virus can be machine-specific for instance when the program areas which can be infected by the virus are depending on the machine. For example in the case of IBM PCs the boot viruses have machine specific spreading mode, because boot sector layout depends on the structure of the IBM PC. Any boot virus under IBM PC has to use the service of the BIOS or the disk controller for its spreading.

A spreading mode of a virus can be machine-independent for instance when the program areas which can be infected by the virus are not depending on the machine. For example the viruses which can infect C source file have machine-independent spreading mode, because they can infect C source files under different machines using the same spreading mode.

Similar definitions holds for the dependency of spreading modes from the operating system:

Definition 4.3.: The spreading mode of a virus is called operating system-specific when some characteristic feature or service of the operating system is used by the virus when it is spread by its given spreading mode. When the services of the operating system are not used by the virus during its spreading, the spreading mode is called operating system-independent.

A spreading mode of a virus can be operating system-specific for instance when the program areas which can be infected by the virus are depending on the operating system. For example under the DOS operating system viruses that infect .EXE files have DOS specific spreading mode, because the structure of the .EXE files is DOS specific.

A spreading mode of a virus can be operating system-independent for instance when the program areas which can be infected by the virus are not depending on the operating system. For example under the DOS operating system the boot viruses usually have DOS independent spreading mode, because the infection of boot sector (or master boot sector) can be performed without using DOS services.

Definition 4.4.: The virus is called machine-specific when it can be spread only by machine-specific spreading mode, and the virus is called machine-independent if its all spreading modes are machine-independent.

Definition 4.5.: The virus is called operating system-specific when it can be spread only by operating system-specific spreading mode, and the virus is called operating system-independent if its all spreading modes are operating system-independent.

It is obvious that executable program files can not be infected by a really machineindependent virus since it has to use the instruction set of the interpreter which can execute the executable file. The executable files are generated from source files written in a high level programming language. A virus can modify these source files during its spreading, thus the virus is independent from the processor which executes the virus code. Of course, the compilers which compile the source codes have to be compatible to each other.

The boot viruses of IBM PCs are machine-specific, but operating system-independent viruses. The file append viruses under DOS operating system which infects executable files are machine-specific and operating system-specific viruses and the file append viruses under DOS operating system which infects source files are machine-specific and operating system-specific viruses.

Definition 4.6.: The spreading mode is called direct when the virus is attached to an executable file during its spreading, and indirect, when the virus is attached to a non-executable file during its spreading.

In the case of viruses with direct spreading mode the virus infects executable files. The executable files can be interpreted by the operating system or by the other program. For example the Microsoft Word for Windows 6.0 documentation file which can include a macro program is an executable file, because the Word can interpret and execute the macro program. Thus a virus can infect these documentation files and this is direct spreading mode as well.

In the case of viruses with indirect spreading mode the viruses have to infect source files. These source files have to be compiled and/or linked. It means that the viruses can appear in the executable files in different forms, depending on the compiler and the linker. In such cases the virus fully build in the host program.

## **Oligomorphic and Polymorphic Viruses**

The form of appearance of the viruses discussed above are the same in all occasion of infection. However, it is easy to imagine that a virus can change its own form in some ways during the infection.

Definition 4.7.: The spreading mode is called polymorphic when there are two program areas infected by the specified spreading mode of the same virus and the code sequences of the virus programs are different.

Definition 4.8.: The virus is called polymorphic when it has polymorphic spreading mode.

Definition 4.9.: The spreading mode is called oligomorphic when there are two program areas infected by the specified spreading mode of the same virus and the code sequences of the virus programs are the same, but there are at least one part of the virus code which is crypted by different keys.

Definition 4.10.: The virus is called oligomorphic when it has oligomorphic spreading mode and it has not polymorphic spreading mode.

A possible realisation of oligomorphic viruses is a special copy when the virus uses a method of cryptography with a random key. An oligomorphic virus attaches also a decoding part to the encoded virus program.

The realisation of polymorphic viruses is more complicated than oligomorphic viruses. They can change their encoding part, too. This is possible e.g. by a random selection of encoding routines from prepared set. This method can also be performed by a random generation of the routine's commands during the spreading. It can be realized e.g. by the following ways:

- by changing the sequence order of the encoding routine,
- by using that the processor is able to perform the same operation by more than one command or command sequences,
- by putting dummy commands in the encoding routine randomly.

In the practice there are some subtypes of oligomorphic and polymorphic viruses: Definition 4.11.: The virus is called slow-polymorphic when it has polymorphic spreading mode, but it uses the polymorphism very rarely.

Definition 4.12.: The virus is called slow-oligomorphic when it has oligomorphic spreading mode, but it changes the random key of the coder routine very rarely.

# **The Virus Detection Problem**

With the emergence of viruses the problem of virus detection also emerges:

Definition 5.1.: The virus detection problem is a question of theory of algorithms, namely whether a specific algorithm exists or not which is able to decide that a specified program area contains a virus able to be spread or not.

Here we assume that all information is available concerning the format of the program area. It means that in the case of an executable file the instruction set of the processor and the operation of each command is known; in the case of source files the syntax of the programming language and the operation of the compiler is fully known.

## The General Problem of Virus Detection

Considering the Church-theorem (Aho, Hopcroft, Ullman, 1975; Lewis, Papadimitriou, 1981; Salomaa, 1985), if there is an algorithm which is able to solve the virus detection problem, then a Turing-machine can be built to execute the corresponding algorithm. Unfortunately it is impossible to build such a Turing-machine even in the simplest case: Theorem 5.1.: It is impossible to build a Turing-machine which could decide if an executable file in a RASPM with ABS contains a virus or not.

Proof: According to theorem 1.3. it is possible to create a RASPM or RASPM with ABS to simulate the Turing-machine. (The modification of the expenses functions of the procedures due to the simulation is irrelevant from the point of view of the proof of the theorem.) Therefore let us create a program P in the RASPM with ABS which simulates the Turing-machine. This program writes a character 1 onto the output tape when the simulated Turing-machine stops in an acceptable state.

Let us make an easy virus which is able to infect program files. Let the virus contain the mentioned program P in such way that at first P is executed as an answer for a random but fixed B input, then the virus starts running. It can be realized by attaching the virus to P, and inserting a JUMP command after each "write character 1" command of P. Thus the control is passed to the first command of the virus program. Let the virus program be so that it copies not only the virus program but also the program P and the fixed input B as well, in the event of infection.

According to this procedure, it is possible to create a program V in RASPM with ABS for any Turing-machine, that becomes a virus if it is really able to be spread. It is obvious that

program V can spread if program P and consequently the Turing-machine stops for the fixed input.

Let us suppose the opposite: there exists a Turing-machine T, which reads any program of RASPM with ABS and writes the character 1 out if the program contains a virus and writes the character 0 out if it does not. If the Turing-machine answers the input program V by the character 1 then program P or the corresponding Turing-machine will stop receiving the input B in any case. If the answer is 0, the corresponding Turing-machine will never stop. Therefore the Turing-machine is able to decide that an other Turing-machine will or will not stop as an answer for any input. However, this is impossible (Salomaa, 1985, Leitold, Csótai, 1992)  $\Box$ .

The conclusion is the following: According to the Church theorem there is no way to build an algorithm for the detection of viruses.

Now, we see that the virus detection problem defined by definition 5.1. cannot be solved. Therefore, it is advisable to restrict the problem.

## Virus Detection Methods

A possible simplification of the virus detection problem if we deal with "several" known viruses only. In this case the known viruses can also be used for the detection algorithm.

Let us take a series of codes from each known virus, which emerges in every infected file when an infection takes place. Let be this series of codes called as sequence. The task of the virus detection program is reduced to the search for these sequences in the program areas. Further problems emerge, however, concerning the algorithms of this principle:

- It is not for sure that there are some sequences for a polymorphic virus that can detect all variants of the virus.;
- It is unknown what is the probability of false alarms, i.e. when a sequence is found by random;
- It is a question what kinds of expenses criteria are suitable to the realisation of the sequence searching algorithm.

It is obvious that the method can not be used for detection of polymorphic viruses and we have to look for other procedures for this purpose, but the method can be used for oligomorphic viruses as well. In this case the sequence for searching should be generated using the codes of decoder function of the virus.

The quantity of false alarms depends on the length of the sequences and on the probability of finding specified values in specified cells of the program files. If the length of a sequence is N, maximum n values can appear at equal probability, there are altogether M sequences and the overall length of the examined files is L >> N, then the probability of finding any of the sequences in a file is:

$$p \approx L \cdot M \cdot \frac{1}{n^N}$$

Let us examine now the expenses criterion with which the sequence searching algorithm can be realized. Since computers often used in practice have fixed length of cells and memory size (which is not the case for RASPM with ABS), the expense of each command will be less than a constant value. It is recommended therefore to calculate with uniform expenses. The sequence searching algorithm compares the content of each cell to be examined with the first cells of the sequences. If the examination is executed separately, altogether  $L \cdot M$  comparisons have to be performed. However, the sequences can be ordered according to the content of their first cells. Let us start the examination with the character in the middle position, and then follow the procedure into the right direction. Using this method in average only  $L \cdot \lceil \log M \rceil$  comparisons have to be carried out, provided the contents of the first cells of sequences are different ones ( $\lceil x \rceil$  denotes the integer number not less then x). If identical values are found in the first cells of the sequences, the contents of the 2nd cells have also to be examined. The expected value of the required further examinations is  $L \cdot M \cdot \frac{1}{n}$ , therefore this is the number of the examination.

further  $L \cdot M \cdot \frac{1}{n^k}$  examinations are required. Therefore, the expected value of the altogether required examinations is:

$$s = L \cdot M \cdot \left(1 + \frac{1}{n} + \frac{1}{n^2} + \dots + \frac{1}{n^{N-1}}\right) = L \cdot M \cdot \frac{\frac{1}{n^N} - 1}{\frac{1}{n} - 1}$$

Considering the worst possible case, the maximum number of comparisons is  $s = L \cdot M \cdot N$ . Since the time requirement of the algorithm can be estimated by the number of comparisons, therefore the sequence searching algorithm can be realized in polynomial time.

For identification of polymorphic viruses a simulation method can be used. The substance of the method is that the execution of the examined program file is started during the emulation (simulation) of the processor. A statistics is prepared about the executed commands, which is continuously compared to the existing statistics of known polymorphic viruses. When an agreement is found, a virus is detected. Based on this method, after encoding, the operation codes of the suspected program can be investigated. Compared to the sequence searching process, no part of the series of codes is compared to known codes, but a statistics prepared from the operation codes of a certain part of code series is examined. In such a way the viruses can be identified even if parts of the commands are exchanged. However, in order to reach a safety of the search comparable to the sequence search method the statistics has to be based on much more operation codes.

However, the emulation type searching method can not be realized within polynomial time, since a virus can exist decoding routine of which is executed in exponential time, depending on a random number. A possible method of searching unknown viruses is the processor emulating method mentioned for the polymorphic viruses. In this case, however, no statistics is prepared, but a characteristic virus activity is watched. These typical characteristic virus activities are, e.g. when a program

- modifies an other program file,
- attempts to modify an other program file,
- attempts to modify the operating system.

## Conclusion

This paper highlighted a new computation model of operating systems. Using the defined RASPM with ABS/SABS it is very easy to examine the working mechanism of unique programs interacting other program codes under different operating systems. This interaction can be carried out that the unique program codes can be identified on the background storage. This identification and also the handling are carried out by operating systems thus operating systems must be previously defined. This definition was given in the 3<sup>rd</sup> point of this paper which complies with the general requirements. The RASPM with ABS/SABS with the operating system together is a powerful tool for the examination of interacting algorithms (program codes) which are under the influence of each other, moreover, which can be modified by the other such as computer viruses.

Under the new model the definitions of computer viruses and the spreading modes of computer viruses is provided. It is proved that the general virus detection problem can not be solved. It means that the virus detection problem should be simplify until it can be solved by an algorithm and therefore can be used in practice.

In the last part of this paper two virus detection method used in practice is provided. The sequence searching algorithm can be solved in polynomial time, but this method can not be used for detection of polymorphic viruses. The other method is the simulation method which can be used for detecting the polymorphic viruses as well, but this searching method can not be realized within polynomial times in all cases.

# References

Aho, A. V.; Hopcroft, J. E.; Ullmann, J. D. (1975). <u>The design and analysis of computer algorithms</u>, Addison-Wesley.

Davis, M. (1958). Computability and Unsolvability, McGraw-Hill, New York.

Lewis, H; Papadimitriou, C. (1981). <u>Elements of the theory of computation</u>, Prentice-Hall, New Jersey

Salomaa, A. (1985). Computation and Automata, Cambridge University Press.

Hopcroft, J. E.; Ullmann, J. D. (1979). Introduction to Automata Theory, Languages and Compilation, Addison-Wesley.

Regan, K.W. (1993). <u>On the difference between Turing machine time and random-access</u> <u>machine time</u>, Proceedings of the ICCI'93, Fifth International Conference on Computing and Information, 27-29 May 1993, Sudbury, Canada, pp. 36-40.

Bshouty, N.H. (1993). <u>On the complexity of functions for random access machines</u>, Journal of the Association for Computing Machinery, Vol: 40. No: 2., Apr 1993, pp. 211-223

Leitold, F.; Csótai, J. (1992). <u>Virus Searching and Killing Language</u>, Proceedings of the 2<sup>nd</sup> International Virus Bulletin Conference, 2-3 Sep 1992, Edinburgh, UK, pp. 159-172.

Virus Bulletin (1993). Survivor's guide to computer viruses, Virus Bulletin, Abingdon+.

Deitel, H. M.; Lorin, H. (1981) Operating Systems, Addison-Wesley.

Herzog, H.; Kolland, M. (1994). <u>Requirements of future information systems on operating</u> system architectures, Wirtschaftsinformatik, Vol: 36 Iss: 2, 1994, pp. 117-129.

Dewan, P.; Vasilik, E. (1990). <u>Object model for conventional operating systems</u>, Computing Systems, Vol: 3 No: 4 Fall 1990, pp. 517-549.